

02/19/02
1062 U.S. PTO

02-2002-03-17-02-A

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2713/11

First Inventor S.C. Bauer et al.

Title Interleukin-3 Multiple Mutation Polypeptides

Express Mail Label No. EF 192451103 US

USPTO
02/19/02

02/19/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 362] /
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 25] /
- Oath or Declaration [Total Pages] /
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: 08/469,419

Prior application information: Examiner R. J. Landsmann Group / Art Unit 1646

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 26648 or Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name			
Address			
City	State	Zip Code	
Country	Telephone		Fax

Name (Print/Type) S. Christopher Bauer

Registration No. (Attorney/Agent) 42,305

Signature

Date 02/19/02

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$780.00

Complete if Known

Application Number	Not Assigned
Filing Date	02/19/02
First Named Inventor	Bauer et al.
Examiner Name	Not Assigned
Group Art Unit	Not Assigned
Attorney Docket No.	2713/11

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-1025**

Deposit Account Name

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status See 37 CFR § 1.27

2. Payment Enclosed:

 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	740.00
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	
SUBTOTAL (1)			\$740.00

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims 1 -20** = 0	X 0 = 0.00	
Independent Claims 0 - 3** = 0	X 0 = 0.00	
Multiple Dependent		

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non - English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR § 1.17(q)	
126	180	126 180 Submission of Information Disclosure Statement	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

SUBMITTED BY

Name (Print/Type)

S. Christopher Bauer

Registration No.
(Attorney/Agent)

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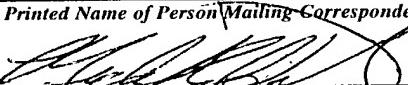
Signature

Date

02/19/02

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)				Docket No. 2713/11
Applicant(s): Bauer et al.U				
Serial No. Not Assigned	Filing Date 02/19/02	Examiner Not Assigned	Group Art Unit Not Assigned	
Invention: Interleukin-3 Multiple Mutation Polypeptides				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 5px; width: 100%;"> Assignment & Assignment Cover Sheet, Declaration & Power of Attorney, Statement under 1.821e, Preliminary Amendment under 37 CFR 1.111, Fee Transmittal, IDS Statement, Form 1449, Utility Patent Application Transmittal, Return Postcard, Utility Application </div> <p style="text-align: center; font-size: small;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;">02/19/02 <i>(Date)</i></p> <hr/> <p style="text-align: right; margin-right: 100px;">Michelle R. Harber</p> <p style="text-align: center; font-size: small;"><i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <hr/>  <p style="text-align: center; font-size: small;"><i>(Signature of Person Mailing Correspondence)</i></p> <hr/> <p style="text-align: center;">EF 192451103 US</p> <p style="text-align: center; font-size: small;"><i>("Express Mail" Mailing Label Number)</i></p>				

Note: Each paper must have its own certificate of mailing.

PATENT

Case 2713/11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

S. C. Bauer et al.

GROUP ART UNIT: not assigned

SERIAL NUMBER: not assigned

EXAMINER: not assigned

FILED: February 19, 2002

DATE: February 19, 2002

TITLE: Interleukin-3 Multiple Mutation Polypeptides

STATEMENT UNDER 37 CFR § 1.821(e)

Commissioner of Patents and Trademarks
Washington, D. C. 20231

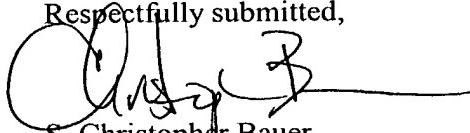
Sir:

Please transfer to the above mentioned application, in accordance with 37 C.F.R. § 1.821(e) , the computer readable copy from the applicant's parent application Serial No. 08/469,419.

This will confirm that the content of the paper copy and the computer readable copy of the Sequence Listing, including those forms requested to be transferred from application Serial No. 08/469,419, is the same as the sequence Listing to which it is indicated to relate.

All papers accompanying this submission, or for which a request for transfer from application Serial No. 08/469,419, introduce no new matter.

Respectfully submitted,



S. Christopher Bauer
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